



Messages and Communications

Speaker Won Pat <speaker@judiwonpat.com>
To: Guam Legislature Clerks Office <clerks@guamlegislature.org>

Fri, Oct 10, 2014 at 10:22 AM

10/10/2014 10/6/2014 Guam State Clearinghouse

Government of Guam, Division of the
Office of the Governor federal grant 32-14-2102
application. SAI# 20010141170Y

Office of the Speaker
Judith T. Won Pat, Ed.D. 32-14-2102

----- Forwarded message -----

From: **TrinaJae Apatang** <trinajae.apatang@guam.gov>
Date: Fri, Oct 10, 2014 at 9:48 AM
Subject: NOTICE OF FEDERAL GRANT APPLICATION FOR OOG (170Y)
To: Speaker Won Pat <speaker@judiwonpat.com>

Date: 10-10-14
Time: 10:20am
Received By:

Hafa Adai! Please see attached federal grant application for the Office of the Governor.

Kindest Regards,

Trinajae M. Apatang
Grant Specialist · Guam State Clearinghouse
OFFICE OF THE LIEUTENANT GOVERNOR
P.O.Box 2950 Hagåtña, Guam 96932
W. (671) 475-9384
F. (671) 472-2007



Office of the Governor of Guam.
Ricardo J. Bordallo Governor's Complex, Adelup, Guam 96910
Tel: (671) 472-8931 • Fax: (671) 477-4826 • governor.guam.gov
Eddie Baza Cádiz @eddiebazacadz @governorcalvo governorofguam

Please consider the environment before printing this email.

2014 OCT 10 AM 10:27

Ufisinan I Etmås Ge'helo'Gi Liheslaturan Guåhan
Office of Speaker Judith T. Won Pat Ed.D.
Kumiten Idukasion yan Laibirihan Publeko
Committee on Education and Public Libraries & Women's Affairs

155 Hesler Place, Suite 201, Hagatna, Guam 96910
Tel: (671) 472-3586 Fax: (671) 472-3589
www.guamlegislature.com / speaker@judiwonpat.com

2 attachments

- NOTICE SPEAKER 170Y.pdf 328K
- OOG 170Y.pdf 3029K

2102



GUAM STATE CLEARINGHOUSE

P.O. Box 2950 Hagåtña, Guam 96932

Tel: (671) 475-9380

Website: www.gsc.guam.gov

Email: clearinghouse@guam.gov

EDDIE BAZA CALVO

I Maga'låhen Guahan

RAYMOND S. TENORIO

I Segundu Na Maga'låhen Guahan

Kate G. Baltazar

Administrator

October 10, 2014

HONORABLE JUDITH T. WON PAT, Ed. D.

Speaker gi I Mina Trentai Dos Na Liheslaturan Guåhan

155 Hesler Place

Hagåtña, Guåhan 96910

Ref: Government of Guam, Division of the Office of the Governor federal grant application. SAI# 20010141170Y

Hafa Adai Madam Speaker,

This letter is to respectfully notify you the Guam State Clearinghouse (GSC) has received a federal grant application from the Government of Guam, Division of the Office of the Governor. The GSC has accepted the application, assigned the State Application Identifier (SAI) 20010141170Y and has initiated the process for an intergovernmental review. An abstract of the project is provided below.

Grantor: Office of Economic Adjustment

Grant Title/

Project Title: Community Economic Adjustment Assistance for Establishment. Expansion, Realignment

Details: Funds from this grant will issued to the Guam Economic Development Authority (GEDA) through the Office of the Governor (OOG) for the Whole of Government "One Guam-One Voice" Technical Support Services Scopes of Work (SsOW). Funds will be used for GEDA's Performance Based Contract. The Whole of Government Technical Support Services (SsOW) will enable the OOG to undertake a collaborative process with Federal agencies/departments who possess critical and relevant subject area expertise to the Economic Adjustment Committee (EAC) member agencies The EAC deliberates outside the fence investments. The Whole of Government of Technical Support Services SsOW will enable the OOG to support critical planning and programming initiatives: 1) Water/ Wastewater Infrastructure and 2) Cultural Repository and be able to respond to federal agency/department requests for information and data, 3) Public services, and 4) Government of Guam Assistance and support. Ultimately, if awarded, the Whole of Government "One Guam-One Voice" Technical Support Services will sustain and further the collaborative and coalition centric process for the EAC.

Start Date: 09/01/2014

End Date: 09/30/2015

Federal Grant: \$1,767,000

GSC conducts intergovernmental reviews and solicits comments through electronic communication and this notice is sent to you as a part of the review process. A digital copy of the grant proposal is attached for your perusal. Please submit any comments you may have pertaining to this proposal to Trinajae M. Apatang by **October 24, 2014**, via email at trinajae.apatang@guam.gov.

Dangkolo Na Si Yu'os Ma'åse',

Kate G. Baltazar

Administrator

EDDIE BAZA CALVO
Governor



RAY TENORIO
Lieutenant Governor

Office of the Governor of Guam

Date: October 6, 2014

To: Chief of Staff

From: Carol Perez
Buildup Office



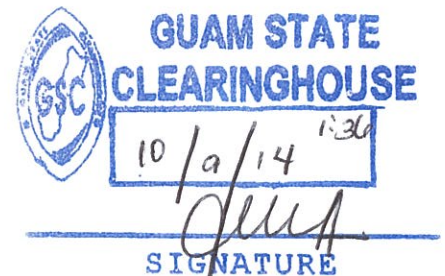
**REF: NEW Grant – Submission to Guam State Clearinghouse
GR0706-14-20 Whole of Government Technical Support Services Grant**

Attached is the Whole of Government Technical Support Services Grant Application, and Grantor approval for submission to the Guam State Clearinghouse.

Grant Amount: \$ 1,767,000

Performance Period: October 1, 2014 – September 30, 2015

Should you have any questions, please feel free to call me at 475-9378.



CFD1014- 3928

1887



GUAM STATE CLEARINGHOUSE

P.O. Box 2950 Hagåtña, Guam 96932
Tel: (671) 475-9380
Website: www.guamclearinghouse.com
Email: clearinghouse@guam.gov

EDWARD J.B. CALVO
I Maga'låhen Guahan

RAYMOND S. TENORIO
I Segundu Na Maga'låhen Guahan

Grant Project Application Notice of Intent to Apply for Federal Assistance GSC FORM REVISED 03/21/2012

Guam State Clearinghouse Use Only

Date Received: 10/09/2014
Received By: [Signature]
SAI Number: 200101411707

Type of Application New Grant* Continuing Grant** Supplemental Grant** Other* []

A.) DUNS Number 778904292

B.) Date October 2, 2014

C.) Applicant/Department Name Government of Guam

D.) Division Office of the Governor

E.) Applicant Address POBox 2950, Hagatna, Guam 96932 - 2950

F.) Applicant/Department Point of Contact Information

Contact Person Name Franklin Arriola Phone Number 477-8931

E-mail Address franklin.arriola@guam.gov

G.) Due Date to Federal Agency Sept 30, 2014

H.) Federal Funds

a.) Grant 1,767,000

b.) Other []

I.) Non-Federal, Matching Funds

a.) Local 0

b.) In-Kind 0

c.) Other []

J.) TOTAL FUNDS 1,767,000

K.) CFDA/Federal Program Name 12-607 Community Economic Adjustment Assistance for Establishment, Expansion, Realignment

L.) Federal Agency Name Office of Economic Adjustment

M.) Federal Agency Address 2231 Crystal Drive, Suite 520, Arlington, VA 22202

14.) For continuing or supplemental grants, please provide the following information.

a.) Initial Grant Period

b.) Guam State Clearinghouse SAI Number

c.) Grant Year This Application Impacts

O.) Has the Federal Funding Agency been notified? YES NO

P.) During which Fiscal Year will this program be implemented?

Q.) If the project requires local funding in addition to the federal funding requested, please specifically identify source and rationale:

R.) This program is: Budgeted - Please identify legal budget authority

Non-Budgeted

S.) Will this program require the hiring of additional employees? Is YES, please provide the number of employees (both existing and new) and justification. YES - Existing New NO

T.) List Departments and Agencies that would be affected directly or indirectly by this application

Department of Administration (DOA)
Guam Economic Development Authority (GEDA)

U.) Please provide a Project Summary with supporting documents if needed.

Program of assistance for Consultant to provide technical support in determining requirements for the buildup. There are four task orders on this Grant Award.

V.) Please answer the following:

- a.) Does this application require an Environmental Impact Study? YES NO
- b.) Will this application conflict with any existing law? YES NO
- c.) Is enabling legislation required? YES NO
- d.) Will the program require a maintenance of effort? YES NO
- e.) Are in-kind services allowed for this program? YES NO
- f.) Does this program allow an indirect cost rate to be applied? YES NO

SUBMITTED AND APPROVED BY:

Printed Name, Position/Title of Authorized Representative

SIGNATURE

Date

**APPLICATION FOR
FEDERAL ASSISTANCE**

2. DATE SUBMITTED September 24, 2014		Applicant Identifier 778904292
1. TYPE OF SUBMISSION: Application <input type="checkbox"/> Construction <input checked="" type="checkbox"/> Non-Construction Preapplication <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction		3. DATE RECEIVED BY STATE State Application Identifier
		4. DATE RECEIVED BY FEDERAL AGENCY Federal Identifier
5. APPLICANT INFORMATION		
Legal Name: Government of Guam		Organizational Unit: Office of the Governor
Address (give city, county, State, and zip code): P O Box 2950 Hagatna, GU, 96932-2950		Name and telephone number of person to be contacted on matters involving this application (give area code) Mr. Franklin Arriola 671-475-9206
6. EMPLOYER IDENTIFICATION NUMBER (EIN): 9 8 — 0 0 1 8 9 4 7		7. TYPE OF APPLICANT: (enter appropriate letter in box) <div style="float:right; border: 1px solid black; padding: 2px;">N</div> A. State B. County C. Municipal D. Township E. Interstate F. Intermunicipal G. Special District H. Independent School Dist. I. State Controlled Institution of Higher Learning J. Private University K. Indian Tribe L. Individual M. Profit Organization N. Other (Specify) _____ Territory
8. TYPE OF APPLICATION: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es) <input type="checkbox"/> <input type="checkbox"/> A. Increase Award B. Decrease Award C. Increase Duration D. Decrease Duration Other(specify): _____		9. NAME OF FEDERAL AGENCY: OEA
10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: 1 2 — 6 0 7 TITLE: COMMUNITY ECONOMIC ADJUSTMENT ASSISTANCE FOR EST,		11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: Guam Military Complex
12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.): Guam		
13. PROPOSED PROJECT		14. CONGRESSIONAL DISTRICTS OF:
Start Date 9/1/14	Ending Date 9/30/15	a. Applicant 00
		b. Project 00
15. ESTIMATED FUNDING:		16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?
a. Federal	\$ 1,767,000 ⁰⁰	a. YES. THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON: DATE _____
b. Applicant	\$ 0 ⁰⁰	b. No. <input type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372 <input checked="" type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW
c. State	\$. ⁰⁰	
d. Local	\$. ⁰⁰	
e. Other	\$. ⁰⁰	
f. Program Income	\$. ⁰⁰	
g. TOTAL	\$ 1,767,000 ⁰⁰	17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT? <input type="checkbox"/> Yes If "Yes," attach an explanation. <input checked="" type="checkbox"/> No
18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT, THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.		
a. Type Name of Authorized Representative Frank Arriola	b. Title Chief of Staff	c. Telephone Number (671) 475-9206
d. Signature of Authorized Representative Frank Arriola - 9/24/2014 6:35:02 PM		e. Date Signed 09/24/2014



BUDGET INFORMATION - Non-Construction Programs

SECTION A - BUDGET SUMMARY						
Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1. 12.607	COMMUNITY ECONOMIC	\$	\$	\$ 1,767,000.00	\$ 0.00	\$ 1,767,000.00
2.						0.00
3.						0.00
4.						0.00
5. Totals		\$ 0.00	\$ 0.00	\$ 1,767,000.00	\$ 0.00	\$ 1,767,000.00

SECTION B - BUDGET CATEGORIES					
6. Object Class Categories	GRANT PROGRAM, FUNCTION OR ACTIVITY				Total (5)
	(1)	(2)	(3)	(4)	
	Federal	Non-Federal			
a. Personnel	\$	\$	\$	\$	0.00
b. Fringe Benefits					0.00
c. Travel					0.00
d. Equipment					0.00
e. Supplies					0.00
f. Contractual	1,767,000.00		0.00		1,767,000.00
g. Construction					0.00
h. Other					0.00
i. Total Direct Charges (sum of 6a-6h)	1,767,000.00		0.00	0.00	1,767,000.00
j. Indirect Charges					0.00
k. TOTALS (sum of 6i and 6j)	\$ 1,767,000.00	\$	\$ 0.00	\$ 0.00	\$ 1,767,000.00
7. Program Income	\$	\$	\$	\$	0.00

Authorized for Local Reproduction



SECTION C - NON-FEDERAL RESOURCES					
(a) Grant Program	(b) Applicant	(c) State	(d) Other Sources	(e) TOTALS	
8.	\$ 0.00	\$ 0.00	\$	\$	0.00
9.					0.00
10.					0.00
11.					0.00
12. TOTAL (sum of lines 8-11)	\$ 0.00	\$ 0.00	\$	\$	0.00

SECTION D - FORECASTED CASH NEEDS					
	Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
	13. Federal	\$ 0.00	\$	\$	\$
14. Non-Federal	0.00				
15. TOTAL (sum of lines 13 and 14)	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00

SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT					
(a) Grant Program	FUTURE FUNDING PERIODS (Years)				
	(b) First	(c) Second	(d) Third	(e) Fourth	
16.	\$	\$	\$	\$	\$
17.					
18.					
19.					
20. TOTAL (sum of lines 16-19)	\$	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00

SECTION F - OTHER BUDGET INFORMATION	
21. Direct Charges:	22. Indirect Charges:
23. Remarks:	

ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.



9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL Frank Arriola - 9/24/2014 6:35:02 PM		TITLE Chief of Staff	
APPLICANT ORGANIZATION Office of the Governor		DATE SUBMITTED September 24, 2014	



Office of Economic Adjustment

CERTIFICATION REGARDING LOBBYING

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Government of Guam

Legal Name of Organization

9/24/2014

Date

Frank Arriola Chief of Staff

Name and Title of Authorized Official

Frank Arriola - 9/24/2014 6:35:02 PM

Signature of Authorized Official



**ACH VENDOR/MISCELLANEOUS PAYMENT
ENROLLMENT FORM**

OMB No. 1510-0056

This form is used for Automated Clearing House (ACH) payments with an addendum record that contains payment-related information processed through the Vendor Express Program. Recipients of these payments should bring this information to the attention of their financial institution when presenting this form for completion.

PRIVACY ACT STATEMENT

The following information is provided to comply with the Privacy Act of 1974 (P.L. 93-579). All information collected on this form is required under the provisions of 31 U.S.C. 3322 and 31 CFR 210. This information will be used by the Treasury Department to transmit payment data, by electronic means to vendor's financial institution. Failure to provide the requested information may delay or prevent the receipt of payments through the Automated Clearing House Payment System.

AGENCY INFORMATION

FEDERAL PROGRAM AGENCY Office of Economic Adjustment		
AGENCY IDENTIFIER:	AGENCY LOCATION CODE (ALC):	ACH FORMAT: <input type="checkbox"/> CCD+ <input type="checkbox"/> CTX <input type="checkbox"/> CTP
ADDRESS:		
CONTACT PERSON NAME: Grants Administrator	TELEPHONE NUMBER: (703) 604-6020	
ADDITIONAL INFORMATION:		

PAYEE/COMPANY INFORMATION

NAME Government of Guam - Treasurer of Guam	SSN NO. OR TAXPAYER ID NO. 98-0018947
ADDRESS PO Box 884 Hagatna, GU 96932	
CONTACT PERSON NAME: Rosita T. Fejeran	TELEPHONE NUMBER: (671) 475-1161

FINANCIAL INSTITUTION INFORMATION

NAME: Bank of Guam	
ADDRESS: PO Box BW Hagatna, GU 96932	
ACH COORDINATOR NAME:	TELEPHONE NUMBER: () -
NINE-DIGIT ROUTING TRANSIT NUMBER: <u> 1 </u> <u> 2 </u> <u> 1 </u> <u> 4 </u> <u> 0 </u> <u> 5 </u> <u> 1 </u> <u> 1 </u> <u> 5 </u>	
DEPOSITOR ACCOUNT TITLE: General Fund	
DEPOSITOR ACCOUNT NUMBER: 601024399	LOCKBOX NUMBER:
TYPE OF ACCOUNT: <input checked="" type="checkbox"/> CHECKING <input type="checkbox"/> SAVINGS <input type="checkbox"/> LOCKBOX	
SIGNATURE AND TITLE OF AUTHORIZED OFFICIAL: (Could be the same as ACH Coordinator) Frank Arriola - 9/24/2014 6:35:02 PM	TELEPHONE NUMBER: (671) 475-9206



REQUEST FOR ADVANCE OR REIMBURSEMENT

(See instructions on back)

OMB APPROVAL NO. PAGE _____ OF _____ PAGES
0348-0004

1. TYPE OF PAYMENT REQUESTED	a. "X" one or both boxes <input type="checkbox"/> ADVANCE <input type="checkbox"/> REIMBURSEMENT b. "X" the applicable box <input type="checkbox"/> FINAL <input type="checkbox"/> PARTIAL	2. BASIS OF REQUEST <input type="checkbox"/> CASH <input type="checkbox"/> ACCRUAL
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3. FEDERAL SPONSORING AGENCY AND ORGANIZATIONAL ELEMENT TO WHICH THIS REPORT IS SUBMITTED	4. FEDERAL GRANT OR OTHER IDENTIFYING NUMBER ASSIGNED BY FEDERAL AGENCY	5. PARTIAL PAYMENT REQUEST NUMBER FOR THIS REQUEST
---	---	--

6. EMPLOYER IDENTIFICATION NUMBER	7. RECIPIENT'S ACCOUNT NUMBER OR IDENTIFYING NUMBER	8. PERIOD COVERED BY THIS REQUEST	
		FROM (month, day, year)	TO (month, day, year)

9. RECIPIENT ORGANIZATION <i>Name:</i> <i>Number and Street:</i> <i>City, State and ZIP Code:</i>	10. PAYEE (Where check is to be sent if different than item 9) <i>Name:</i> <i>Number and Street:</i> <i>City, State and ZIP Code:</i>
--	---

11. COMPUTATION OF AMOUNT OF REIMBURSEMENTS/ADVANCES REQUESTED

PROGRAMS/FUNCTIONS/ACTIVITIES	(a)	(b)	(c)	TOTAL
a. Total program outlays to date <i>(As of date)</i>	\$	\$	\$	\$ 0.00
b. Less: Cumulative program income				0.00
c. Net program outlays (Line a minus line b)	0.00	0.00	0.00	0.00
d. Estimated net cash outlays for advance period				0.00
e. Total (Sum of lines c & d)	0.00	0.00	0.00	0.00
f. Non-Federal share of amount on line e				0.00
g. Federal share of amount on line e				0.00
h. Federal payments previously requested				0.00
i. Federal share now requested (Line g minus line h)	0.00	0.00	0.00	0.00
j. Advances required by month, when requested by Federal grantor agency for use in making prescheduled advances	1st month			0.00
	2nd month			0.00
	3rd month			0.00

12. ALTERNATE COMPUTATION FOR ADVANCES ONLY

a. Estimated Federal cash outlays that will be made during period covered by the advance	\$
b. Less: Estimated balance of Federal cash on hand as of beginning of advance period	
c. Amount requested (Line a minus line b)	\$ 0.00



Current Financial Statement

Guam Military Complex, GR0706-14-20

Grant Start Date: 09/01/2014
 Grant End Date: 09/30/2015

MENU
Close

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OBJECT CLASS CATEGORIES	FEDERAL TOTALS	NON-FEDERAL TOTALS
Personnel	\$0	\$0
Fringe Benefits	\$0	\$0
Travel	\$0	\$0
Equipment	\$0	\$0
Supplies	\$0	\$0
Contractual	\$1,767,000	\$0
Construction	\$0	\$0
Other	\$0	\$0
Indirect Charges	\$0	\$0
TOTALS	\$1,767,000	\$0

FINANCIAL SUMMARY

Total Grant Funds	Payment Total	Available Grant Balance
\$1,767,000	\$0	\$1,767,000

Schedule of Reports

Guam Military Complex, GR0706-14-20

MENU
Close

Interim Performance Reports

	From	To	Due
Period 1	09/01/2014	12/31/2014	01/31/2015
Period 2	01/01/2015	03/31/2015	04/30/2015
Period 3	04/01/2015	06/30/2015	07/31/2015
Period 4	07/01/2015	09/30/2015	12/31/2015

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Final Reports

	From	To	Due
Final Performance	09/01/2014	09/30/2015	12/31/2015
Final Financial	09/01/2014	09/30/2015	12/31/2015
Deliverables	09/01/2014	09/30/2015	12/31/2015



FAIN: HQ00051410061
OEA Locator: GR0706-14-20
Guam Military Complex

Mr. Franklin Arriola
Chief of Staff
Office of the Governor of Guam
P.O. Box 2950
Hagatna, GU 96932-2950

Dear Mr. Arriola:

I am pleased to inform you that I have approved the request for \$1,767,000 of Department of Defense Community Planning Assistance Funds in response to mission growth on Guam. If the provisions of the attached Agreement are acceptable, please sign the document online.

Standard Form (SF) 270, "Request for Advance or Reimbursement" is used to request Grant funds. The first request for payment may be submitted electronically once you have signed the Agreement. Subsequent payment requests will be approved for disbursement contingent upon acceptance of the interim performance reports. The SF 425, "Federal Financial Report" is used to report final outlays and obligations for the entire Grant period and must be submitted to close out the Grant.

This Grant has been assigned the Federal Award Identifier Number (FAIN) HQ00051410061. Please use this number for reporting subaward and executive compensation information into the Federal Funding Accountability and Transparency Act (FFATA) Subaward Reporting System (FSRS) as required. Further information on this requirement may be found in Attachment C of the Agreement. Please use the OEA locator on all OEA correspondence.

You may direct questions regarding this award to the OEA Western Regional Director, Mr. Gary Kuwabara at (916) 557-7365 or gary.d.kuwabara.civ@mail.mil. We look forward to working with you.

Sincerely,

Patrick O'Brien 9/25/2014 4:53:35 PM
Patrick J. O'Brien
Director
Office of Economic Adjustment

Attachment:
As stated



**Grant Agreement
for
Guam Military Complex
FAIN: HQ00051410061**

This Agreement is between the Government of Guam, the Grantee, and Department of Defense, the Grantor, acting through the Office of Economic Adjustment (OEA). The Grantee will undertake community economic adjustment activities as described in the Application for Federal Assistance, dated September 24, 2014, at the estimated cost of \$1,767,000.

1. Compliance by the Grantee

A. Overall Compliance: The Grantee's actions under this Grant shall comply with all applicable Federal, State, interstate, and local laws and regulations. The Grantee shall comply with the following: Part 33 of title 32, Code of Federal Regulations (CFR), "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments," and Part 28 of title 32, CFR, "New Restrictions on Lobbying."

B. Debarment and Suspension: The Grantee agrees to comply with Parts 180, "OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement)," and 1125, "Department of Defense Nonprocurement Debarment and Suspension," of title 2, CFR. The Grantee also agrees to communicate the requirement to comply with Parts 180 and 1125 to entities and persons at the next lower tier with whom the recipient enters into transactions that are "covered transactions" under Parts 180 and 1125.

C. Drug-Free Workplace: The Grantee agrees to comply with Subpart B, "Requirements for Recipients Other Than Individuals," of Part 26 of title 32, CFR, "Governmentwide Requirements for Drug-Free Workplace (Financial Assistance)."

D. Hatch Act: The Grantee is advised that its employees may be subject to the Hatch Act (5 U.S.C. § 1501-1508). If doubt exists in particular cases, the Grantee should seek legal counsel.

E. Universal Identifier Requirements and Central Contractor Registration. The Grantee agrees to comply with the requirements of Part 25 of title 2, CFR, "Universal Identifier and Central Contractor Registration." The System for Award Management (SAM) has replaced the CCR system. The full text of this award term (as revised to reflect the SAM) is provided in Attachment B to this Agreement.

F. Trafficking Victims Protection Act of 2000: The Grantee agrees to comply with the requirements of Part 175 of title 2, CFR, "Award Term for Trafficking in Persons." The full text of this award term is provided in Attachment D to this Agreement.



G. Grant Terms and Conditions: The Grantee shall comply with the terms of this Agreement. The decision of the Grantor in interpreting the Terms and Conditions of this Agreement shall be final.

H. The Grantee shall ensure that every consultant and every contractor it employs under the Grant complies with the terms of this Agreement as though the consultant or contractor were a party to this Agreement.

2. Terms and Conditions

A. The Grant period is from September 1, 2014, through September 30, 2015. Eligible costs incurred between September 1, 2014, and the date of this Agreement are allowable and reimbursable.

B. The Grantee agrees to comply with the requirements regarding support of salaries and wages in OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments," Attachment B., "Selected Items of Cost," Item 8.h., "Support of Salaries and Wages."

C. Any Grant funds actually advanced and not needed for grant purposes shall be returned immediately to the Grantor.

D. OEA may suspend or terminate this Agreement in whole, or in part, if the Grantee materially fails to comply with conditions of this Agreement. Suspension or termination may occur if the Grantee materially fails to comply with any term of this Agreement. The Grantee shall not incur new obligations for the terminated portions after receiving notice of the termination, and shall cancel as many outstanding obligations as possible. Additional enforcement remedies for non-compliance and termination provisions, in Part 33 of title 32, CFR, apply to this award.

E. The Grantee is the responsible authority, without recourse to the Grantor, regarding the settlement and satisfaction of all contractual and administrative issues arising out of procurements entered into in support of the Grant.

F. Activities Prohibited

(1) Duplication of Work: The purpose and scope of work for which this Agreement is made shall not duplicate programs for which moneys have been received, are committed, or are applied for from other sources, public or private. Upon request of the Grantor, the Grantee shall submit full information about related programs that will be initiated within the Grant period.

(2) Other Funding Sources: Grantor's funds budgeted or granted for this program shall not be used to replace any financial support previously provided or assured from any other source.



(3) Funds for Attorney/Consultant Fees: The Grantee hereby agrees that no funds made available from this Grant shall be used, directly or indirectly, for paying attorneys' or consultants' fees in connection with securing grants or other services provided by the Grantor, for example, preparing the application for this assistance. However, attorneys' and consultants' fees incurred for meeting this Agreement's requirements may be eligible project costs and may be paid out of funds made available from this Agreement provided such costs are otherwise eligible.

(4) The Grantee is prohibited from using funds provided from this Grant or personnel employed in the administration of this program for political activities, sectarian or religious activities, lobbying, political patronage, or nepotism activities.

G. Personnel Approvals

The Grantor reserves the right to approve or disapprove the selection of professional-level employees hired under this grant. If requested by the Grantor, resumes, in sufficient detail to reveal the experience, education, and other general and special qualifications for the position, must be submitted to the Grantor for its consent prior to employment of a candidate. Changes in key positions, where specified in the Application and/or this agreement, will require prior written approval from the Grantor.

H. Use of Consultants/Contractors

(1) Procurement of consultant or contractor services shall be in accordance with all standards and procedures set forth in Part 33 of title 32, CFR. The following terms, which are drawn from the Department of Defense Grant and Agreement Regulations, Parts 21 through 37 of title 32, CFR, are intended merely to highlight some of these standards and are, therefore, not inclusive.

(2) All procurement transactions, regardless of whether negotiated or advertised and without regard to dollar value, shall be conducted in a manner that provides maximum open and free competition.

(3) Formal advertising, with adequate purchase description, sealed bids, and public openings, shall not be required for small purchase procurements under \$100,000 in the aggregate unless otherwise required by State or local law or regulation. If small purchase procedures are used, price or rate quotations shall be obtained.

(4) The Grantee shall maintain a code or standards of conduct which shall govern the performance of its officers, employees, or agents in contracting with and expending Federal Grant funds. Grantee's officers, employees, or agents shall neither solicit nor accept gratuities, favors, or anything of monetary value from a contractor or potential contractors. To the extent permissible by State or local law, rules, or regulations, such standards shall provide for penalties, sanctions, or other disciplinary actions to be applied for violations of such standards by either the Grantee's officers, employees, or agents, or by contractors.

I. Separate Bank/Fund Accounts

(1) The Grantee is not required to establish a separate bank account but may do so. The Grantee, however, must maintain accounting records to adequately identify the source and application of Grant funds. Other considerations, such as FDIC coverage, shall be in accordance with the provisions of Part 33 of title 32, CFR.

(2) Interest earned on Grant funds shall be reported to the Grantor and used to reduce the Federal share of this Grant. Grantees shall promptly, but no less often than quarterly, remit to the Grantor any interest earned on advances the Grantor provided. The Grantee may retain interest on any Grant funds not to exceed \$100 per year for administrative expenses.

J. Grant Payments

(1) A Standard Form (SF) 270, "Request for Advance or Reimbursement," shall be submitted when requesting funds.

(2) All financial information on the SF 270 shall be shown as: Column (a)--Salaries and Benefits; Column (b)--Operating Expenses; Column (c)--Contracts.

(3) Grant payments will be made by electronic funds transfer.

(4) Grant funds for contractual services will be disbursed on a reimbursement basis only. Advances of up to 30 days may be requested for operational support. When Grant payments are cash advances, the amount requested will be limited to that actually required.

(5) Grantee's payments to contractors/consultants shall be contingent upon the Grantee's acceptance of deliverables.

(6) Requests for Federal funds (SF 270's) for payment of consultant/contractor deliverables should be submitted to the Grantor after Grantee's acceptance of the deliverables.

K. Reimbursement for Travel

Reimbursement for travel (transportation, food, and lodging) in the performance of Grant activities shall be consistent with those normally allowed in like circumstances in the non-Federally sponsored activities of the Grantee. Grantees may follow their own established rate but any travel allowance policies in excess of Federal limits must receive prior approval from the Grantor.

L. Office Equipment

All requests to purchase equipment (including software) with an estimated acquisition cost of more than \$5,000 shall be submitted to the Grantor for prior approval.

M. Expenses and Purchases Excluded

- (1) Grant funds may not be used for marketing or entertainment expenses.
- (2) Grant funds may not be used for capital assets, such as the purchase of vehicles, improvements and renovation of space, and repair and maintenance of privately owned vehicles.

N. Grantee Contributions

Contributions to this project by non-Grantor sources are expected to be paid out at the same general rate as Grant funds.

O. Grantee Reporting

- (1) The Grantee shall provide interim performance reports and a final performance report. The performance reports will contain information on the following:
 - (a) A comparison of actual accomplishments to the objectives established for the period.
 - (b) The reasons for slippage if established objectives were not met.
 - (c) Additional pertinent information when appropriate.
 - (d) An accounting, by the budget line items approved for this project, of expenses incurred during the reporting period, including the amount of Grant funds on hand at the beginning and end, and non-Grantor share of contributions over the term.
 - (e) The final performance report must contain a summary of activities for the entire Grant period. All required deliverables should be submitted with the final performance report.
- (2) The final SF 425, "Federal Financial Report," shall be submitted to the Grantor within 30 days after the end date of the Grant. Any Grant funds actually advanced and not needed for Grant purposes shall be returned immediately to the Grantor.
- (3) The "Schedule of Reports" in Attachment A provides reporting periods and dates due.

P. Reporting Subaward and Executive Compensation Information

The Grantee agrees to comply with the requirements of Part 170 of title 2, CFR, "Reporting Subaward and Executive Compensation Information." The full text of this award term is provided in Attachment C to this Agreement.

Q. Contractor Deliverables

(1) A disclaimer statement will appear on the title page of any study prepared under this Grant. It will read:

“This study was prepared under contract with the Government of Guam, with financial support from the Office of Economic Adjustment, Department of Defense. The content reflects the views of the Government of Guam and does not necessarily reflect the views of the Office of Economic Adjustment.”

(2) The contractor identification will appear on the title page of the study funded by this grant.

(3) Any final study shall be submitted electronically. The document will be dated the month and year that it is submitted to the Grantor.

R. Audits

(1) The Grantee agrees to comply with OMB Circular A-133, “Audits of States, Local Governments, and Non-Profit Organizations,” and the Single Audit Act, 31 U.S.C. § 7502(h).

(2) This award is not for Research and Development, and is made under Catalog of Federal Domestic Assistance (CFDA) title: Community Economic Adjustment Assistance for Establishment, Expansion, Realignment, or Closure of a Military Installation, CFDA Number 12.607.

(3) The Grantee shall ensure audits are properly performed, and furnish the required data collection forms and audit reporting packages to the Federal Audit Clearinghouse (FAC). The Grantee shall upload audit reports into the FAC through the Internet Data Entry System (IDES) at <https://harvester.census.gov/fac/collect/ddeindex.html#>.

(4) The Grantee shall provide any audit with findings related to this award, with copies of the reporting package (including corrective action plans), management letters issued by an auditor, and audit working papers, to the Grantor.

(5) The Grantor will seek to issue a management decision to the Grantee within 6 months of receipt of an audit report with findings, and the Grantee shall take timely and corrective action to comply with the management decision.

(6) The Department of Defense reserves the right to conduct an independent follow-up audit.



3. Special Conditions

A. The purpose and scope of this award is to undertake community economic adjustment activities in response to mission growth on Guam. Changes in the specific activities described in the application and the terms and conditions of this award are allowable only if approved by the Grantor.

B. The Grantee and their consultants/contractors performing United States Government-financed foreign air travel shall travel by U.S. flag air carriers in accordance with the Fly America Act, 49 U.S.C., App. 1517.

C. Funds shall not be disbursed under this award until the Grantor is in receipt of an Intergovernmental Agreement to carry out the approved scope of work between the Grantee and Guam Economic Development Authority.

THE TERMS OF THIS GRANT ARE AGREED TO BY:

Patrick O'Brien
Patrick J. O'Brien
Director
Office of Economic Adjustment

9/25/2014 4:53:35 PM
DATE

Frank Arriola - 9/25/2014 5:17:29 PM
Franklin Arriola
Chief of Staff
Government of Guam

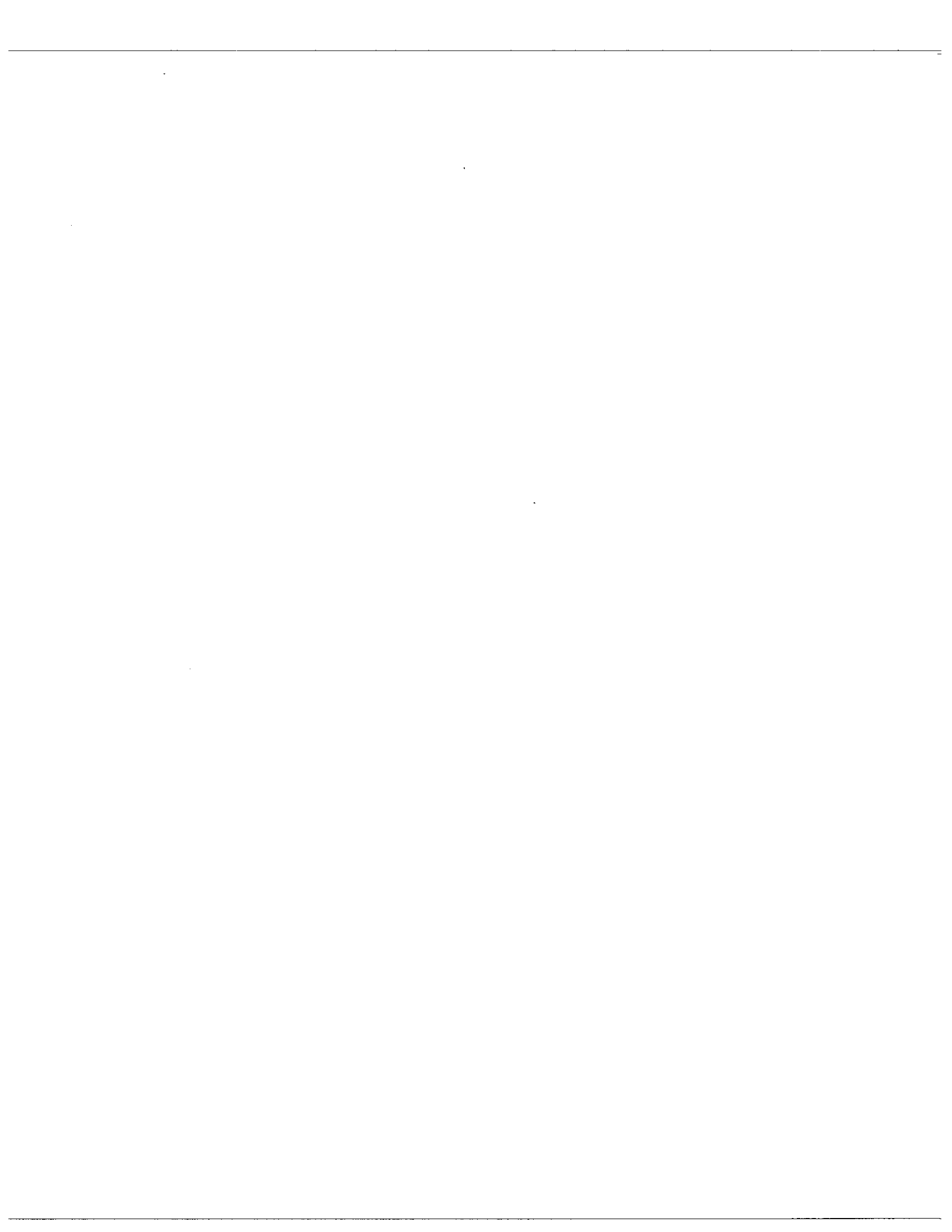
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Schedule of Reports
For
Guam Military Complex
FAIN: HQ00051410061

September 1, 2014 through September 30, 2015

<u>Interim Performance Reports</u>	<u>Due Date</u>
09/01/2014 through 12/31/2014	01/31/2015
01/01/2015 through 03/31/2015	04/30/2015
04/01/2015 through 06/30/2015	07/31/2015
07/01/2015 through 09/30/2015	12/31/2015
<u>Final Performance Report</u>	
09/01/2014 through 09/30/2015	12/31/2015
<u>Final Federal Financial Report (SF 425)</u>	
09/01/2014 through 09/30/2015	12/31/2015
<u>Deliverables</u>	12/31/2015



System for Award Management (SAM) (previously identified as the Central Contractor Registration) and Universal Identifier Requirements

A. Requirement for registration in the System for Award Management (SAM)

Unless you are exempted from this requirement under 2 CFR § 25.110, you as the recipient must maintain the currency of your information in SAM until you submit the final financial report required under this award or receive the final payment, whichever is later. This requires that you review and update the information at least annually after the initial registration, and more frequently if required by changes in your information or another award term.

B. Requirement for Data Universal Numbering System (DUNS) Numbers

If you are authorized to make subawards under this award, you:

1. Must notify potential subrecipients that no entity (*see* definition in paragraph C of this award term) may receive a subaward from you unless the entity has provided its DUNS number to you.

2. May not make a subaward to an entity unless the entity has provided its DUNS number to you.

C. Definitions

For purposes of this award term:

1. *System for Award Management (SAM), previously identified as the Central Contractor Registration (CCR)*, means the Federal repository into which an entity must provide information required for the conduct of business as a recipient. Additional information about registration procedures may be found at the SAM Internet site (currently at <http://www.sam.gov>).

2. *Data Universal Numbering System (DUNS) number* means the nine-digit number established and assigned by Dun and Bradstreet, Inc. (D&B) to uniquely identify business entities. A DUNS number may be obtained from D&B by telephone (currently 866-705-5711) or the Internet (currently at <http://fedgov.dnb.com/webform>).

3. *Entity*, as it is used in this award term, means all of the following, as defined at Subpart C of Part 25 of title 2, CFR:

- a. A Governmental organization, which is a State, local government, or Indian Tribe;
- b. A foreign public entity;



-
- c. A domestic or foreign nonprofit organization;
 - d. A domestic or foreign for-profit organization; and
 - e. A Federal agency, but only as a subrecipient under an award or subaward to a non-Federal entity.

4. *Subaward*:

a. This term means a legal instrument to provide support for the performance of any portion of the substantive project or program for which you received this award and that you as the recipient award to an eligible subrecipient.

b. The term does not include your procurement of property and services needed to carry out the project or program (for further explanation, *see* Sec. __.210 of the attachment to OMB Circular A-133, "Audits of States, Local Governments, and Non-Profit Organizations").

c. A subaward may be provided through any legal agreement, including an agreement that you consider a contract.

5. *Subrecipient* means an entity that:

- a. Receives a subaward from you under this award; and
- b. Is accountable to you for the use of the Federal funds provided by the subaward.



Reporting Subawards and Executive Compensation

A. *Reporting of first-tier subawards.*

1. *Applicability.*

Unless you are exempt as provided in paragraph D. of this award term, you must report each action that obligates \$25,000 or more in Federal funds that does not include Recovery funds (as defined in section 1512(a)(2) of the American Recovery and Reinvestment Act of 2009, Pub. L. 111–5) for a subaward to an entity (see definitions in paragraph e. of this award term).

2. *Where and when to report.*

i. You must report each obligating action described in paragraph A.1 of this award term to <http://www.fsrs.gov>.

ii. For subaward information, report no later than the end of the month following the month in which the obligation was made. (For example, if the obligation was made on November 7, 2010, the obligation must be reported by no later than December 31, 2010.)

3. *What to report.*

You must report the information about each obligating action that the submission instructions posted at <http://www.fsrs.gov>.

B. *Reporting Total Compensation of Recipient Executives.*

1. *Applicability and what to report.*

You must report total compensation for each of your five most highly compensated executives for the preceding completed fiscal year, if—

- i. the total Federal funding authorized to date under this award is \$25,000 or more;
- ii. in the preceding fiscal year, you received—

(a) 80 percent or more of your annual gross revenues from Federal procurement contracts (and subcontracts) and Federal financial assistance subject to the Transparency Act, as defined at 2 CFR § 170.320 (and subawards); and



(b) \$25,000,000 or more in annual gross revenues from Federal procurement contracts (and subcontracts) and Federal financial assistance subject to the Transparency Act, as defined at 2 CFR § 170.320 (and subawards); and

iii. The public does not have access to information about the compensation of the executives through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986. (To determine if the public has access to the compensation information, see the U.S. Securities and Exchange Commission total compensation filings at <http://www.sec.gov/answers/excomp.htm>.)

2. *Where and when to report.*

You must report executive total compensation described in paragraph B.1 of this award term:

i. As part of your registration profile at <http://www.sam.gov>.

ii. By the end of the month following the month in which this award is made, and annually thereafter.

C. *Reporting of Total Compensation of Subrecipient Executives.*

1. *Applicability and what to report.*

Unless you are exempt as provided in paragraph D of this award term, for each first-tier subrecipient under this award, you shall report the names and total compensation of each of the subrecipient's five most highly compensated executives for the subrecipient's preceding completed fiscal year, if—

i. in the subrecipient's preceding fiscal year, the subrecipient received—

(a) 80 percent or more of its annual gross revenues from Federal procurement contracts (and subcontracts) and Federal financial assistance subject to the Transparency Act, as defined at 2 CFR § 170.320 (and subawards); and

(b) \$25,000,000 or more in annual gross revenues from Federal procurement contracts (and subcontracts), and Federal financial assistance subject to the Transparency Act (and subawards); and



ii. The public does not have access to information about the compensation of the executives through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986. (To determine if the public has access to the compensation information, see the U.S. Securities and Exchange Commission total compensation filings at <http://www.sec.gov/answers/execomp.htm>.)

2. *Where and when to report.*

You must report subrecipient executive total compensation described in paragraph C.1 of this award term:

i. To the recipient.

ii. By the end of the month following the month during which you make the subaward. For example, if a subaward is obligated on any date during the month of October of a given year (*i.e.*, between October 1 and 31), you must report any required compensation information of the subrecipient by November 30 of that year.

D. *Exemptions*

If, in the previous tax year, you had gross income, from all sources, under \$300,000, you are exempt from the requirements to report:

i. Subawards, and

ii. The total compensation of the five most highly compensated executives of any subrecipient.

E. *Definitions.*

For purposes of this award term:

1. *Entity* means all of the following, as defined in Part 25 of title 2, CFR:

i. A Governmental organization, which is a State, local government, or Indian tribe;

ii. A foreign public entity;

iii. A domestic or foreign nonprofit organization;

iv. A domestic or foreign for-profit organization;



v. A Federal agency, but only as a subrecipient under an award or subaward to a non-Federal entity.

2. *Executive* means officers, managing partners, or any other employees in management positions.

3. *Subaward*:

i. This term means a legal instrument to provide support for the performance of any portion of the substantive project or program for which you received this award and that you as the recipient award to an eligible subrecipient.

ii. The term does not include your procurement of property and services needed to carry out the project or program (for further explanation, see Sec. ___ .210 of the attachment to OMB Circular A-133, "Audits of States, Local Governments, and Non-Profit Organizations").

iii. A subaward may be provided through any legal agreement, including an agreement that you or a subrecipient considers a contract.

4. *Subrecipient* means an entity that:

i. Receives a subaward from you (the recipient) under this award; and
ii. Is accountable to you for the use of the Federal funds provided by the subaward.

5. *Total compensation* means the cash and noncash dollar value earned by the executive during the recipient's or subrecipient's preceding fiscal year and includes the following (for more information see 17 CFR § 229.402(c)(2)):

i. *Salary and bonus.*

ii. *Awards of stock, stock options, and stock appreciation rights.*

Use the dollar amount recognized for financial statement reporting purposes with respect to the fiscal year in accordance with the Statement of Financial Accounting Standards No. 123 (Revised 2004) (FAS 123R), Shared Based Payments.

iii. *Earnings for services under non-equity incentive plans.*

This does not include group life, health, hospitalization or medical reimbursement plans that do not discriminate in favor of executives, and are available generally to all salaried employees.



iv. *Change in pension value.*

This is the change in present value of defined benefit and actuarial pension plans.

v. *Above-market earnings on deferred compensation which is not tax-qualified.*

vi. Other compensation, if the aggregate value of all such other compensation (e.g. severance, termination payments, value of life insurance paid on behalf of the employee, perquisites or property) for the executive exceeds \$10,000.



Trafficking Victims Protection Act of 2000 (TVPA)

A. The Grantee, Grantee's employees, subrecipients under this award, and subrecipients' employees may not—

i. Engage in severe forms of trafficking in persons during the period of time that the award is in effect;

ii. Procure a commercial sex act during the period of time that the award is in effect; or

iii. Use forced labor in the performance of the award or subawards under the award.

B. The Grantor may unilaterally terminate this award, without penalty, if the Grantee or a subrecipient that is a private entity —

i. Is determined to have violated a prohibition in paragraph A. of this award term; or

ii. Has an employee who is determined by the agency official authorized to terminate the award to have violated a prohibition in paragraph A. of this award term through conduct that is either—

(a) Associated with performance under this award; or

(b) Imputed to the Grantee or the subrecipient using the standards and due process imputed the conduct of an individual to an organization that are provided in Part 180 of title 2, CFR, "OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement)," as implemented by the Grantor at Part 376 of title 2, CFR.

(c) The Grantee must inform the Grantor immediately of any information the Grantee receives from any source alleging a violation of a prohibition in paragraph A. of this award term.

(d) The Grantor's right to terminate unilaterally that is described in paragraph B. of this section:

i. Implements section 106(g) of the Trafficking Victims Protection Act of 2000 (TVPA), as amended (22 U.S.C. 7104(g)), and

ii. Is in addition to all other remedies for noncompliance that are available to the Grantor under this award.



(e) The Grantee must include the requirements of paragraph A. of this award term in any subaward you make to a private entity.

(f) Definitions. For purposes of this award term:

“Employee” means either:

i. An individual employed by the Grantee or a subrecipient who is engaged in the performance of the project or program under this award; or

ii. Another person engaged in the performance of the project or program under this award and not compensated by the Grantee including, but not limited to, a volunteer or individual whose services are contributed by a third party as an in-kind contribution toward cost sharing or matching requirements.

“Forced labor” means labor obtained by any of the following methods: the recruitment, harboring, transportation, provision, or obtaining of a person for labor or services, through the use of force, fraud, or coercion for the purpose of subjection to involuntary servitude, peonage, debt bondage, or slavery.

“Private entity”:

i. Means any entity other than a State, local government, Indian tribe, or foreign public entity, as those terms are defined in 2 CFR § 175.25.

ii. Includes:

(a) A nonprofit organization, including any nonprofit institution of higher education, hospital, or tribal organization other than one included in the definition of Indian tribe at 2 CFR § 175.25(b).

(b) A for-profit organization.

“Severe forms of trafficking in persons,” “commercial sex act,” and “coercion” have the meanings given at section 103 of the TVPA, as amended (22 U.S.C. 7102).



Responding To Change – Shaping the Future

Whole of Government “One Guam – One Voice” Technical Support Services Grant Application

Introduction

The Territory of Guam, acting through the Office of the Governor (OOG), is requesting Office of Economic Adjustment (OEA) program of assistance, which will enable the OOG to issue four (4) Whole of Government “One Guam – One Voice” Technical Support Services Scopes of Work (SsOW) (Attachments: Number 1, 2, 3, and 4) to the Guam Economic Development Authority (GEDA) "Professional Multi-Discipline Consulting Services for Research, Development, Planning and Implementation of Economic Development Initiatives and Opportunities Contract," which is a Performance Based Contract (a contract similar to an Indefinite Delivery Indefinite Quantity (IDIQ) Contract). The Whole of Government Technical Support Services SsOW will enable the OOG to undertake a collaborative process, with Federal Agencies / Departments, who possess critical and relevant subject area expertise; to the Economic Adjustment Committee (EAC) member agencies; as the EAC deliberates on outside the fence investments. The Whole of Government Technical Support Services SsOW will enable the OOG to support two critical planning and programming initiatives: 1) Water Wastewater Infrastructure; and 2) Cultural Repository; and to also be responsive to Federal Agency / Department requests for information and data 3) Public Services and 4) Government of Guam Assistance and Support. The Whole of Government “One Guam – One Voice” Technical Support Services Grant, if awarded, will sustain and further the collaborative and coalition centric process for the EAC.

Background

Section 2822(d) of the 2014 National Defense Authorization Act (NDAA) directs the convening of the Economic Adjustment Committee (EAC); to consider all assistance, including assistance to support public infrastructure requirements, necessary to support the preferred alternative for the relocation of Marine Corps forces to Guam. EAC must submit a report to Congress no later than the date on which the Navy Supplemental Environmental Impact Statement (SEIS) Record of Decision (ROD) is issued, which includes: 1) results of the EAC meeting / deliberations; and 2) implementation plan to support the preferred alternative for the relocation of the United States Marine Corps (USMC) forces from Okinawa, Japan to Guam.

Since the passage of the 2014 NDAA, the Office of the Governor (OOG), the Buildup Office, and select Government of Guam Departments / Agencies (Guam Department of Public Health and Social Services, Guam Waterworks Authority, and Guam

Consolidated Commission for Utilities) have been actively collaborating with OEA on initiatives that may be relevant to the EAC member agencies on their efforts to structure a responsive program of economic adjustment assistance. The Territory of Guam is requesting program of assistance to enable the Government of Guam to effectively represent its equities and requirements to the EAC member agencies; as the EAC deliberates on the outside the fence investments. However, the Government of Guam responsiveness is dependent on securing technical support services to support a "Whole of Government – One Guam – One Voice" (Whole of Government) effort. The need for technical support services is due to: 1) limited internal Government of Guam expertise and capacity; and 2) the compressed time frame (Spring 2015 – projected issuance of the Navy SEIS ROD).

The Whole of Government Grant Application includes the following SsOW:

- 1). Water Wastewater Infrastructure (WWI) Technical Support Services SOW
- 2). Cultural Repository Planning and Programming Phase SOW
- 3). Public Services Planning and Programming Phase SOW
- 4). Government of Guam Assistance and Support SOW

The Office of the Governor opted to segregate (break out) the Whole of Government Technical Support Services into four independent SsOW. Doing so will ease the negotiations and will also allow SsOW that are successfully negotiated to commence; while negotiations continue on more challenging ones. This strategy is imperative; given the short time period before the EAC Report is due to Congress.

Procurement

All Territory (Government of Guam) procurements using Federal Assistance are in accordance with applicable Territory (State) laws and regulations and applicable Federal laws and standards and comply with 32 CFR Part 33, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments.

A copy of the GEDA Request for Proposal is attached to the Grant Application. An executed copy of the Memorandum of Agreement (MOA), between the OOG and GEDA, will be submitted to the first Quarterly Performance Report.

Information Management

The information developed under the Whole of Government Grant Award is pre-decisional, and its initial distribution will be limited; according to specific need to know requirements and limited to parties in support of the deliberations and work of the EAC and its member agencies.

However, throughout the Whole of Government initiative the OOG and EAC will ensure there are products that can be used and distributed by the collaborative working

committees, comprised of Federal and Government of Guam subject area experts. Development of products that can be shared with the Guam Legislature Committee Chairs and with the general public is paramount to the “sustainability” of investment parameter / objective.

The OOG recognizes and acknowledges the Deliverables produced by the Grant reflect the collective views, opinions, and decisions that are reached in collaboration with relevant Federal Agencies / Departments.

Grant Performance Period

September 1, 2014 through September 30, 2015.

The Grant Performance Period is for thirteen (13) months. Based on previous experience, the OOG is anticipating follow-on interactions; post the submission of the EAC report to Congress.

As noted earlier, the EAC time frame is very tight and the OOG is pursuing a very aggressive / responsive engagement strategy as follows:

- On or about September 17, 2014 – OOG submission of the Whole of Government Grant Application to OEA
- On or about September 27, 2014 – GEDA will issue Whole of Government SsOW to the Performance Base Contractor (PBC) for Formal Proposals. The SsOW will be issued with a “subject to availability of funding” provision.
- September 30, 2014 – Whole of Government Grant Award
- October 2014 – Contract Negotiations Complete – Notice to Proceed (NTP) issued. NTPs will be issued only for the SsOW that have been successfully negotiated.
- October 2014 through February 2015 (Five (5) Months) – Intense Contractual Effort (Holidays months (November and December) will impact contractual efforts)
- March 2015 through May 2015 (Three (3) Months) – Refine Preliminary Final Deliverables to Final Deliverables
- June 2015 through September 2015 (Four (4) Months) – Follow-on Interactions

Schedule Notes:

1. The OOG and PBC responsiveness will be directly tied to the various collaborative working committees. The schedules are very tight, thus attendance at the review meetings and responsiveness on providing comments and / or direction will be critical. The OOG and PBC cannot control or demand participation from the other stakeholders, but will try to influence and encourage participation / responsiveness.
2. The OOG and PBC recognize the various initiatives will commence at the start of Fiscal Year 2015, which may impact stakeholder participation.

3. The OOG and PBC will develop a contingency meeting alternative, whereby key meetings may be held in the Continental United States or Hawaii to enhance Federal Agency / Department participation.
4. Each SOW requires PBC to prepare a Schedule, which can be shared with key stakeholders (relevant collaborative working committee). PBC shall prepare an overarching Whole of Government Schedule that integrates all the SOW Schedules, into a master Schedule.
5. The potential responsiveness of various agencies and associated organizations, due to the compressed timeframe outlined, may have an impact on the timeliness and schedule that PBC has proposed. Appropriate schedule and outcome expectations will be discussed throughout the process at key milestones, for responsive adjustments.

Grant Budget

\$ 300,000 Water Wastewater Infrastructure (WWI) Technical Support Services
 \$ 450,000 Cultural Repository Planning and Programming
 \$ 425,000 Public Services Planning and Programming
 \$ 375,000 Government of Guam Assistance and Support
\$ 1,550,000 Sub-Total

\$ 217,000 GEDA Management Fee (14%)

\$ 1,767,000 Total Budget Estimate

The Budget Estimate is based on previous OOG Advisory Consultant Team (ACT) Task Orders and other Government of Guam consultant contract awards.

The following factors influence the Budget Estimate:

1. Actions and initiatives that are required to support the EAC effort are on a very short performance period, which will require more robust PBC teams.
2. Budget Estimate includes a fourteen percent (14%) GEDA Administrative Management Fee, which is a GEDA standard and applied to Government of Guam Agencies / Departments using GEDA's services. The Management Fee covers GEDA's cost for negotiations, management, and monitoring of the Performance Based Contract. The GEDA Management Fee is not duplicative of Buildup Office involvement
3. The OOG has opted for more conservative SOW Budget Estimates, to ensure funding is immediately available. Having to process an Amendment for additional funding, given the tight time frame; would adversely impact the responsiveness of the OOG and the PBC.
4. The OOG and GEDA will attempt to leverage PBC's travel expenses and personnel costs across the SsOW. However, the OOG is aware leveraging may be limited, to ensure PBC's responsiveness.

5. PBC will provide projected Travel Expenses per Meeting Venue, which includes all key assumptions (number of personnel, number of days, etc.) and projected number of meetings. However, Travel will not be a firm fixed cost, since not all the projected travel may occur.
6. The OOG and GEDA will conduct thorough and comprehensive negotiations on all SsOW. If a reasonable and responsive Proposal is not forthcoming, GEDA will terminate the SOW negotiation and immediately notify OEA.
7. The OOG and GEDA will immediately notify OEA, if a SOW negotiation leads to reasonable and responsive Proposal, where the final SOW price is slightly above the SOW Budget Estimate. The OOG and GEDA will seek OEA, prior approval to use available fund balances from other SOW negotiations.

Attachments:

- 1). Water Wastewater Infrastructure (WWI) Technical Support Services SOW
- 2). Cultural Repository Planning and Programming Phase SOW
- 3). Public Services Planning and Programming Phase SOW
- 4). Government of Guam Assistance and Support SOW
- 5). Guam Economic Development Authority Request for Proposal

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Responding To Change – Shaping the Future
Whole of Government
“One Guam – One Voice”
Technical Support Services
Budget Justification

The Budget Estimate is based on previous OOG Advisory Consultant Team (ACT) Task Orders and other Government of Guam consultant contract awards.

Budget Estimate:

\$ 300,000	Water Wastewater Infrastructure (WWI) Technical Support Services
\$ 450,000	Cultural Repository Planning and Programming
\$ 425,000	Public Services Planning and Programming
<u>\$ 375,000</u>	Government of Guam Assistance and Support
\$ 1,550,000	Sub-Total
\$ 217,000	GEDA Management Fee (14%)
\$ 1,767,000	Total Budget Estimate

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2. Budget Estimate includes a fourteen percent (14%) GEDA Administrative Management Fee, which is a GEDA standard and applied to Government of Guam Agencies / Departments using GEDA's services. The Management Fee covers GEDA's cost for negotiations, management, and monitoring of the Performance Based Contract. The GEDA Management Fee is not duplicative of Buildup Office involvement.
3. The OOG has opted for more conservative SOW Budget Estimates, to ensure funding is immediately available. Having to process an Amendment for additional funding, given the tight time frame; would adversely impact the responsiveness of PBC.
4. The OOG and GEDA will attempt to leverage PBC's travel expenses and personnel costs across the SOW. However, the OOG is aware leveraging may be limited, to ensure PBC's responsiveness.

5. PBC will provide projected Travel Expenses per Meeting Venue, which includes all key assumptions (number of personnel, number of days, etc.) and projected number of meetings. However, Travel will not be a firm fixed cost, since not all the projected travel may occur.
6. The OOG and GEDA will conduct thorough and comprehensive negotiations on all SsOW. If a reasonable and responsive Proposal is not forthcoming, GEDA will terminate the SOW negotiation.
7. The OOG and GEDA will immediately notify OEA, if a SOW negotiation leads to reasonable and responsive Proposal, where the final SOW price is slightly above the SOW Budget Estimate. The OOG and GEDA will seek OEA, prior approval to use available fund balances from other SOW negotiations.

Note: All Territory (Government of Guam) procurements using Federal Assistance are in accordance with applicable Territory (State) laws and regulations and applicable Federal laws and standards and comply with 32 CFR Part 33, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments."

Non-Federal Match is in accordance with 48 USC Sec. 1469a – exemption of match for the Territory of Guam.

But for the assistance provided to the Territory of Guam, via this Grant, the Government of Guam would not be able to support the buildup program. The Territory of Guam does not have the financial resources available to support these unfunded requirements generated by the DOD Program. The Government of Guam is resource limited (stretched), independent of the DOD Program.

GRANT PERFORMANCE PERIOD

Start Date: September 1, 2014
End Date: September 30, 2015

PUBLIC SERVICES

General Program Background

The United States Pacific Command (USPACOM) "Guam Integrated Military Development Plan" (GIMDP), dated July 11, 2006, examined the feasibility of the proposed joint military basing and force bed-down on Guam, which was a key component of the USPACOM's "Integrated Global Presence and Basing Strategy." The GIMDP set in motion an unprecedented Department of Defense (DoD) program that has been and continues to be highly dynamic, challenging, and politically sensitive and an initiative with unforeseen / unanticipated outcomes / consequences / opportunities. In April 2012, almost six years later, the United States – Japan Security Consultative Committee (SCC) issued a joint statement, which adjusted the previous GIMDP and captured the "2012 Defense Budget Priorities and Choices" rebalance to the Asia-Pacific and Middle East Region. The joint statement can and should be viewed as a seminal moment / decision or a turning point. The joint statement recast the program and set in motion a series of actions such as new military master planning (Guam and Hawaii) and environmental analysis (Guam Supplemental Environmental Impact Statement (SEIS)) and Congressional program review, oversight, and legislative action.

Section 2822(d) of the 2014 National Defense Authorization Act (NDAA) directs the convening of the Economic Adjustment Committee (EAC); to consider all assistance, including assistance to support public infrastructure requirements, necessary to support the preferred alternative for the relocation of Marine Corps forces to Guam. EAC must submit a report to Congress no later than the date on which the Navy Supplemental Environmental Impact Record of Decision is issued, which includes: 1) results of the EAC meeting / deliberations; and 2) implementation plan to support the preferred alternative for the relocation of the United States Marine Corps (USMC) forces from Okinawa, Japan to Guam.

The primary goal of the Public Services Planning and Programming Phase is : to inform the EAC deliberations and the development of the EAC Congressional report for Guam; and the development of fiscally sound, sustainable, and effective mitigation measures and / or projects that are responsive to the Department of the Navy 2014 Draft Supplemental Environmental Impact Statement (SEIS). The planning and programming will inform the collaborative working committee, a committee comprised of Federal and Government of Guam Agencies / Departments with relevant subject area expertise, which will enable the collaborative working committee to finalize a Public Services Mitigation Plan that can be shared with the EAC for their consideration and use.

Guam Public Services Scope of Work

The Office of the Governor and their Performance Based Contractor (PBC) will collaborate with the Public Services collaborative working committee on the development of a Guam Public Services Mitigation Plan. The analysis will inform the collaborative working committee, a committee comprised of Federal and Government of Guam Agencies / Departments with relevant subject area expertise, which will enable the collaborative working committee to finalize a Guam Public Services Mitigation Plan that can be shared with the EAC for their consideration and use. At this time, the Government of Guam is not being asked to implement or execute the Public Services Mitigation Plan. Execution or implementation decisions will be influenced and shaped the Navy Final SEIS and Record of Decision (ROD).

PBC shall assume that throughout the Scope of Work (SOW), working committee coordination meetings will be required. The working committee meetings may be convened on Guam, in Honolulu, Hawaii, or in San Francisco, California. PBC shall submit travel costs per meeting venue and a projection of the number of meetings. However, travel will not be fixed price.

The Public Services SOW consist of the following components:

I. Phase 1 – Data Collection / Research

PBC shall review and analyze the relevant Public Service sections in the Navy 2014 Draft SEIS and then develop a structured and logical framework or methodology that clearly and easily documents the direct relationship between the 2014 Draft SEIS and the Guam Public Service Mitigation Plan. The framework and the Public Service Mitigation Plan should take into account and should be able to easily document and adjust to any significant changes between the Draft SEIS and Final SEIS. The Public Services objective to help inform the EAC, dictates the need to undertake this initiative while the Final SEIS is being developed.

PBC shall prepare a Public Services Baseline that documents the impacts and mitigations from the Draft SEIS. The Baseline should concisely and clearly identify and describe the impacts and mitigations. In addition, PBC should explore the viability of also including some form of graphic that can convey the information more efficiently and effectively. PBC shall also conduct site visits and interviews with the Public Service Government of Guam Departments / Agencies to document the current baseline conditions. See Attachment No. 1: Public Service Agencies identified in the Draft SEIS.

Deliverable 1.1: *Conduct interviews on Guam with the Government of Guam Public Service Departments / Agencies.*

Deliverable 1.2: *Whitepaper summarizing the interviews conducted and findings.*

Deliverable 1.3: *Public Services Baseline that documents the impacts and mitigations from the Draft SEIS and also documents the existing Agency / Department baseline conditions.*

Deliverable 1.4: *A Public Services Baseline Presentation shall be presented to relevant Public Services collaborative working committee and the Office of the Governor and select Guam Legislature Senators (Chairs for select Committees – to be determined). The Presentation shall provide an overview of the Public Services Baseline.*

II. Phase 2 –Draft Government of Guam Public Service Mitigation Plan

PBC shall prepare a Draft Public Services Mitigation Plan, which documents the Government of Guam Departments / Agencies mitigation recommendations. Under the leadership of the Buildup Office Director, the PBC should assist the impacted Government of Guam Departments / Agencies on quantifying the impact and then on then on developing innovative but fiscally sound and effective mitigations. Example: the PBC should explore technology investments or process improvements, in lieu of adding interim full time equivalents (FTEs).

PBC shall develop budget estimates for each proposed mitigation measure. The budget estimates should include operations and maintenance costs, when applicable.

In addition, the Public Services Mitigation Plan should include a section that documents the efforts and / or initiatives implemented by the Government of Guam that have either reduced or eliminated an impact. Example: PBC should review the status of the Capacity Enhancing Building Initiative (CEBI), which was focused on increasing the capacity of the Government of Guam. This section of the Mitigation Plan should document the Government of Guam investments, in response to the U.S. Marine Corps (USMC) realignment to Guam.

The proposed mitigations should be easily traced back to the Public Services Baseline.

Deliverable 2.1: *Whitepaper summarizing the interviews conducted and findings.*

Deliverable 2.2: *Public Services Mitigation Plan that documents the Government of Guam proposed mitigations and their associated cost estimates. The Mitigation Plan shall also include a section that documents the Government of Guam actions / initiatives taken in response to the USMC realignment.*

Deliverable 2.3: *Public Service Mitigation Fact Sheets. For each mitigation measure or project, PBC shall develop an associated standalone Fact Sheet. The Fact Sheet should document the requirement (tie the requirement to the Navy SEIS), describe the mitigation measure and provide a projected budget.*

Deliverable 2.4: A Public Services Mitigation Plan Presentation shall be presented to relevant Public Services collaborative working committee and the Office of the Governor and select Guam Legislature Senators (Chairs for select Committees – to be determined). The Presentation shall provide an overview of the Public Services Mitigation Plan.

III. Federal Agency / Department Engagement Support

Under the direction of the Buildup Director, PBC shall provide technical support services to ensure the Government of Guam Departments / Agencies are responsive to Federal Agency / Department requests for assistance or information.

In addition, if there is a Federal Agency / Department Public Services Site Visit to Guam, PBC shall provide both technical and logistical support services as required. Based on previous experience, the PBC shall:

- Coordinate and handle the logistics for securing appropriate meeting rooms and equipment for a seven (7) day site visit (maximum). The Public Services Meetings will be held at the Sheraton Hotel.
- Assist Buildup Director with developing the appropriate presentation materials.
- Take meeting minutes and prepare appropriate meeting summaries / documentation.
- Prepare a Public Services Federal Agency / Department Site Visit Report that summarizes the proceeding, findings, etc.

Information Management

Information developed under this agreement is pre-decisional, and its distribution is limited according to specific need to know parties in support of the deliberations and work of the EAC and its member agencies.

However, throughout the Whole of Government initiative the OOG and PBC, working in collaboration with the EAC, will ensure there are products that can be used and distributed by the collaborative working committees, comprised of Federal and Government of Guam subject area experts. Development of products that can be shared with the Guam Legislature Committee Chairs and with the general public is paramount to the “sustainability” of investment parameter / objective.

The OOG and PBC recognize and acknowledge, the Deliverables produced by the Grant reflect the collective views, opinions, and decisions that are reached in collaboration with relevant Federal Agencies / Departments.

SOW Notes

- PBC shall prepare an initial SOW Schedule, which includes key milestones and meetings; which can be shared with all key stakeholders. The SOW Schedule will be shared with the Government of Guam Departments / Agencies, which will enhance their availability and participation at key meetings.
- The SOW is on a compressed timeline. The EAC Report must be submitted to the US Congress, not later than the Department of the Navy (DON) issuance of the Supplemental Environmental Impact Statement (SEIS) Record of Decision, which is projected to be spring of 2015.
- PBC shall work with the appropriate Government of Guam Agencies / Departments; however adhering to the schedule to ensure completion of the SOW is paramount. Accordingly, if Government of Guam Agencies / Departments are non-responsive, PBC will rely on input and guidance from the OOG.
- In addition to the Government of Guam Agencies / Departments meetings, PBC shall throughout the execution of the SOW make presentations to the Office of the Governor and to select and relevant Guam Legislature Committee Chairmen (Committee Chairmen are yet to be determined). The goal or objective of these presentations is to ensure the Government of Guam understands the future operational and maintenance (O&M) implications; which is a key component of ensuring future sustainability of the initial investment.
- PBC shall submit a standalone cost proposal for a Federal Agency / Department Public Services Site Visit, which can be deleted from the negotiated Public Services Contract, if a site visit does not occur. PBC will be reimbursed for any planning and cancelation costs associated with hotel meeting rooms and equipment rentals.

Attachment:

- 1). Public Service Agencies identified in the Draft Supplemental Environmental Impact Statement

PUBLIC SERVICE AGENCIES

Agencies affected by development:

- Guam Department of Land Management (GDLM)
- Guam Environmental Protection Agency (GEPA)
- Guam Department of Public Works (GDPW)
- Guam Coastal Management Program (GCMP)
- Guam Department of Agriculture (GDoA)
- Guam Waterworks Authority (GWA)
- Guam SHPO
- GDPW, building permits and inspection
- Guam Coastal Management Program, within Guam Bureau of Statistics and Plans (GBSP)
- Guam Power Authority (GPA)
- Guam Water Authority (GWA)
- Guam Fire Department (GFD), permitting staff
- SHPO, within GDPR
- Guam Division of Environmental Health
- Guam Department of Labor - Alien Labor Processing and Certification Division

Agencies Affected by population:

- Guam Public Library System
- Guam Department of Education (GDOE)
- Guam Community College
- University of Guam
- Guam Memorial Hospital Authority
- Guam Department of Public Health and Social Services (DPHSS)
- Guam Department of Mental Health and Substance (DPMHS)
- Guam Department of Integrated Services for Individuals with Disabilities
- Guam Police Department (GPD)
- Guam Fire Department (GFD)
- Guam Department of Corrections (GDOC)
- Youth affairs (GDYA)
- Guam Department of Parks and recreation (GDPR)
- Guam Public Library System
- Judiciary of Guam (JoG)

Department and Agencies affected but not highlighted in DSEIS:

- Department of Homeland Security
- Department of Customs
- Guam International Airport Authority
- Department of Revenue and Taxes
- Department of Labor
- Department of Administration
- BBMR
- Guam Port Authority (GPA)
- Guam Visitors Bureau (GVB)

GUAM CULTURAL REPOSITORY

General Program Background

The United States Pacific Command (USPACOM) “Guam Integrated Military Development Plan” (GIMDP), dated July 11, 2006, examined the feasibility of the proposed joint military basing and force bed-down on Guam, which was a key component of the USPACOM’s “Integrated Global Presence and Basing Strategy.” The GIMDP set in motion an unprecedented Department of Defense (DoD) program that has been and continues to be highly dynamic, challenging, and politically sensitive and an initiative with unforeseen / unanticipated outcomes / consequences / opportunities. In April 2012, almost six years later, the United States – Japan Security Consultative Committee (SCC) issued a joint statement, which adjusted the previous GIMDP and captured the “2012 Defense Budget Priorities and Choices” rebalance to the Asia-Pacific and Middle East Region. The joint statement can and should be viewed as a seminal moment / decision or a turning point. The joint statement recast the program and set in motion a series of actions such as new military master planning (Guam and Hawaii) and environmental analysis (Guam Supplemental Environmental Impact Statement (SEIS)) and Congressional program review, oversight, and legislative action.

The United States Congress in Fiscal Year (FY) 2012 Consolidated Appropriations Act Section 8110 of Public Law 112-74 provided DoD funding for the preservation and repository of artifacts unearthed during military construction. The DoD funding (\$12.0 million) will address civilian infrastructure deficiencies that prevent proper storage and curation of cultural artifacts. The Economic Adjustment Committee (EAC) is responsible for the Guam Cultural Repository initiative and other similar Guam investments.

Section 2822(d) of the 2014 National Defense Authorization Act (NDAA) directs the convening of the EAC; to consider all assistance, including assistance to support public infrastructure requirements, necessary to support the preferred alternative for the relocation of Marine Corps forces to Guam. EAC must submit a report to Congress no later than the date on which the Navy Supplemental Environmental Impact Record of Decision is issued, which includes: 1) results of the EAC meeting / deliberations; and 2) implementation plan to support the preferred alternative for the relocation of the United States Marine Corps (USMC) forces from Okinawa, Japan to Guam.

The Office of Economic Adjustment, the United States Department of the Interior / Office of Insular Affairs (OIA), the Office of the Governor of Guam, and the Guam Department of Chamorro Affairs have formed an initial informal collaborative coalition to oversee the sound, effective, and successful investment of the \$12.0 million program of assistance. After initial dialogues, the coalition reached consensus that prior to initiating the final design and construction of the new Guam Cultural Repository; additional preliminary analysis and planning is required in order to inform the EAC report to Congress.

The primary goal of the analysis, planning, and programming phase is: to inform the EAC deliberations and the development of the EAC Congressional report for Guam; and the development of a conceptual programmatic design of the Guam Cultural Repository, which will guide the \$12.0 million investment (or a portion thereof); which will ensure the investment is operationally and financially sustainable. The analysis will inform the collaborative working committee, a committee comprised of Federal and Government of Guam Agencies / Departments with relevant subject area expertise, which will enable the collaborative working committee to finalize an implementation plan that can be shared with the EAC for their consideration and use.

Guam Cultural Repository Programmatic Scope of Work

The Office of the Governor and their Performance Based Contractor (PBC) will collaborate with the informal Cultural Repository collaborative coalition on the development of the Guam Cultural Repository Plan. The Government of Guam is not being asked to implement / execute / or oversee the construction and opening of the new Guam Cultural Repository. Decisions as to whom and how the new Cultural Repository is constructed and operated will be influenced and shaped by this effort.

PBC shall assume that throughout the Scope of Work (SOW), working committee coordination meetings will be required. The working committee meetings may be convened on Guam, in Honolulu, Hawaii, or in San Francisco, California. Additional travel will be required to coordinate with the National Park Service Design Service Centers located in Denver, Colorado and Harpers Ferry, West Virginia. PBC shall submit travel costs per meeting venue and a projection of meetings. However, travel will not be a firm fixed price.

I. Phase 1 – Data Collection / Research

To support the development of a new Guam Cultural Repository, PBC will collect and assess data from sources that include, but are not limited to: Guam State Historic Preservation Officer (SHPO), Guam Department of Chamorro Affairs, Guam Museum Foundation, US Department of the Interior / National Park Service, Federal Highway Administration, and the Department of Defense (DOD). Other on- and off-island institutes holding Guam artifacts that could be returned to a new Guam Cultural Repository shall be contacted.

PBC shall review the current Federal construction solicitation / acquisition provisions that deal with unearthing of artifacts or remains to understand the legal requirements and possible impacts to the new Guam Cultural Repository. In addition, PBC shall contact Federal procurement offices, with major Guam construction efforts to obtain current data on the type, quantity, and handling of artifacts and remains.

The data collected will include:

Artifacts

- Current inventory of cultural artifacts

- Artifact and remains statistical data from recent Federal construction contracts (reporting of data should include a graphic showing location of construction projects, type and quantity of artifacts and remains)
- Estimation of future artifact generation (from military projects, from cumulative effect projects related to the buildup, other development)
- Estimation of artifacts stored off-island that could be returned

Processes

- Federal procurement provisions / requirements
- Current curation and documentation processes
- Other processes used for maintaining artifacts
- Current and projected staffing needs

Expectations

- Define expectations for new facility, including the types of services to be provided and facility and equipment components required

Review of Selected Facilities

- Review of selected cultural repositories / archaeological repositories in Hawaii and mainland U.S. will be conducted to document programmatic standards used at facilities.

Data shall be drawn from a review of existing reports and records and interviews. Interviews will be facilitated in Guam, Hawaii, Colorado, West Virginia, and Washington D.C. to ensure the involvement of all relevant stakeholders. Interviews in Colorado and West Virginia will be with the National Park Service Design Service Centers. Prior to making site visits, PBC shall prepare and transmit in advance a site visit schedule and agenda, which includes: questions, requests for information / documentation, etc.

Using the Data Collected / Research, PBC shall identify the challenges, which directly impact and influence curation of artifacts on the island of Guam and shall identify mitigation measures that are required for increasing curation capacity. The mitigation measures shall address ongoing staffing and operational costs and be well documented so the Government of Guam understands the long term obligation required to sustain a new Guam Cultural Repository. The mitigation measures shall also recommend an appropriate structure and funding mechanisms to help offset the cost of operations.

Deliverable 1.1: *Conduct interviews on Guam with the Guam SHPO, Guam Department of Chamorro Affairs, Guam Museum Foundation, National Park Service, and DOD representatives. Interviews with National Park Service (Colorado and West Virginia), Hawaiian institutions (Hawaii), and US Department of Interior and the Department of Defense (Washington D.C.) representatives shall be conducted.*

Deliverable 1.2: *Whitepaper summarizing the interviews conducted and projection of existing and future artifacts. Documentation of potential off-island artifacts*

that could be returned to the new Guam Cultural Repository Collection will be included in future projections. The whitepaper will also describe current procedures for curation and documentation processes.

Deliverable 1.3: *Whitepaper documenting the programmatic standards used at facilities of related scope and circumstance in the United States. Identification of standard approaches and unique approaches / solutions will be highlighted.*

Deliverable 1.4: *Whitepaper documenting sustainability (staffing and operational costs) of a new Cultural Repository and the implications to the Government of Guam.*

Deliverable 1.5: *A Data Collection / Research Presentation shall be presented to relevant Guam Cultural Repository stakeholders and the Office of the Governor and select Guam Legislature Senators (Chairs for the following Committees: Cultural Affairs and Guam US Military Relocation). The Presentation shall provide an overview of the Whitepapers.*

II. Phase 2 –Draft Programmatic Requirements

PBC shall prepare a draft programmatic requirements package for the new Guam Cultural Repository. The programmatic requirements document shall cover all physical space needs as well as all other facility, equipment, and operational needs for a fully functioning repository that meets guidelines for curation as defined by the National Park Service. Size requirements will also address site needs, such as parking, loading dock, etc. The basis for all recommendations, including assumptions made, shall be fully described and documented.

Any options or alternatives that are viable shall also be documented as part of the programmatic requirements. Rough order magnitude costs for the proposed programmatic requirements and any options or alternatives presented will be prepared. The alternative analysis may lead to the recommendation of limiting the initial amount of investment to something less than \$12.0 million, to ensure the sustainability of the investment.

Deliverable 2.1: *Develop draft Guam Cultural Repository Programmatic Requirements. This white paper shall fully describe the facility and other requirements for the new repository. Requirements will be presented as a set of facility components (space for storage, curation, research, office, meeting rooms, bathrooms, common space, etc.) and other requirements (electrical, communications, HVAC, computer systems, storage systems, etc.). The basis for all recommendations, including assumptions made, shall be described and documented.*

Deliverable 2.2: *Conduct meetings to present the draft Guam Cultural Repository Programmatic Requirements and received comments. Meetings will be held on Guam with the Guam SHPO, Guam Department of Chamorro Affairs, Guam Museum Foundation, National Park Service, and DOD representatives. A meeting with*

the National Park Service (Colorado and West Virginia), and US Department of Interior and the Department of Defense (Washington D.C.) representatives may be required.

Deliverable 2.3: A Draft Guam Cultural Repository Programmatic Requirements Presentation shall be presented to relevant Guam Cultural Repository working committee and the Office of the Governor and select Guam Legislature Senators (Chairs for the following Committees: Cultural Affairs and Guam US Military Relocation). The Presentation shall provide an overview of the findings and Programmatic Requirements.

III. Phase 3 – Site Selection

Based on the facility sizing developed in Phase 2, PBC will prepare siting criteria to be used in identifying potential sites for the new Guam Cultural Repository (site screening). These criteria shall address the following:

- Ownership and availability
- Site capability (such as size, topography, drainage)
- Proximity to related functions and uses
- Infrastructure availability
- Basic environmental suitability (such flood and inundation zones, potential for cultural resources)

Once draft criteria are reviewed and approved, the Consultant will apply the criteria to identify up to five potential, viable sites for future evaluation. Each of the five short-listed properties will be further evaluated on a site level analysis and ranked.

Deliverable 3.1: Develop initial siting criteria and provide whitepaper on criteria developed, sources of data used for the initial screening, and initial results.

Deliverable 3.2: Provide a briefing on the initial screening criteria and initial results for identifying alternative sites for the new Guam Cultural Repository. Meetings will be held on Guam with the Guam SHPO, Guam Department of Chamorro Affairs, Guam Museum Foundation, National Park Service, and DOD representatives.

Deliverable 3.3: Based on review and comments provided on Deliverable 3.2, refine the screening criteria and develop a final set of three potential sites. Prepare a whitepaper detailing the more detailed evaluation of the final three sites, including a review of site records and site condition assessment, which lead to a Preferred Site.

Deliverable 3.4: A Site Selection Presentation shall be presented to relevant Guam Cultural Repository working committee and the Office of the Governor and select Guam Legislature Senators (Chairs for the following Committees: Cultural Affairs and Guam US Military Relocation).

IV. Phase 4 – Final Programmatic Requirements

Based on comments received, PBC shall prepare a final Guam Cultural Repository Programmatic Requirements document and present the final solution. The final report shall also include two additional sections:

- 1). This section of the report shall discuss possible acquisition strategies / methodologies (examples: design, build, operate, turnover or design, bid, build, operate, turnover) and make a recommendation based on the report findings and current bidding / construction environment.
- 2). Sustainability Section. This section of the report shall discuss the long term implications of the final Cultural Repository Programmatic Requirement to the Office of the Governor of Guam, the Guam Department of Chamorro Affairs, and the Government of Guam, inclusive of the Guam Legislature. This section should discuss possible implications to the General Fund and / or other Government of Guam revenues. This section shall include information on a conceptual staffing plan and a conceptual annual operations and maintenance budget, which includes maintenance on critical curatorial equipment or mechanical systems.

Using the final Guam Cultural Repository Programmatic Requirements, PBC shall develop a Conceptual Programmatic Budget Estimate for the new Guam Cultural Repository. The Conceptual Programmatic Budget Estimate shall be developed at a programmatic design level and should be equivalent or similar to the budget estimating on DD Forms 1391(s), used by the DoD for Military Construction Programming. PBC shall utilize existing designs, standards, and costs to inform the development of the Conceptual Programmatic Budget Estimate. The Conceptual Programmatic Budget Estimate shall, at a minimum, contemplate and include:

- a conceptual site plan developed to the requisite level to facilitate site work estimating;
- a conceptual or schematic building floor plan; and
- budget estimates for all equipment and furnishings.

The Design Program and the Conceptual Programmatic Budget Estimate will be integrated into the Economic Adjustment Committee (EAC) Report, mandated by the US Congress

Deliverable 4.1: *Develop final Guam Cultural Repository Programmatic Requirements.*

Deliverable 4.2: *Provide a briefing on the final Guam Cultural Repository Programmatic Requirements and received comments. Meetings will be held on Guam with the Guam, SHPO, Guam Department of Chamorro Affairs, Guam Museum Foundation, National Park Service, and DOD representatives. A meeting with the National Park Service (Colorado), and US Department of Interior and the Department of Defense (Washington D.C.) representatives may be required.*

Deliverable 4.3: *Provide Executive Briefing of Programmatic Requirements package to relevant Guam Cultural Repository working committee and the Office of the Governor and select Guam Legislature Senators (Chairs for the following Committees: Cultural Affairs and Guam US Military Relocation).*

Information Management

Information developed under this agreement is pre-decisional, and its distribution is limited according to specific need to know parties in support of the deliberations and work of the EAC and its member agencies.

However, throughout the Whole of Government initiative the OOG and PBC, working in collaboration with the EAC, will ensure there are products that can be used and distributed by the collaborative working committees, comprised of Federal and Government of Guam subject area experts. Development of products that can be shared with the Guam Legislature Committee Chairs and with the general public is paramount to the "sustainability" of investment parameter / objective.

The OOG and PBC recognize and acknowledge, the Deliverables produced by the Grant reflect the collective views, opinions, and decisions that are reached in collaboration with relevant Federal Agencies / Departments.

SOW Notes

- PBC shall prepare an initial SOW Schedule, which includes key milestones and collaborative working committee meetings; which can be shared with all key stakeholders. The SOW Schedule will be shared with the collaborative working committee, which will enhance their availability and participation at key meetings.
- The SOW is on a compressed timeline. The EAC Report must be submitted to the US Congress, not later than the Department of the Navy (DON) issuance of the Supplemental Environmental Impact Statement (SEIS) Record of Decision, which is projected to be spring of 2015.
- PBC shall work with all working committee members, however adhering to the schedule to ensure completion of the SOW is paramount. Accordingly, working committee meetings may need to be held with a majority of the committee, but not the entire group.
- In addition to the working committee meetings, PBC shall throughout the execution of the SOW make presentations to the Office of the Governor and to select and relevant Guam Legislature Committee Chairmen (Committee Chairmen are yet to be determined). The goal or objective of these presentations is to ensure the Government of Guam understands the future operational and maintenance (O&M) implications; which is a key component of ensuring future sustainability of the initial investment.



WATER WASTEWATER INFRASTRUCTURE TECHNICAL SUPPORT

General Program Background

The United States Pacific Command (USPACOM) "Guam Integrated Military Development Plan" (GIMDP), dated July 11, 2006, examined the feasibility of the proposed joint military basing and force bed-down on Guam, which was a key component of the USPACOM's "Integrated Global Presence and Basing Strategy." The GIMDP set in motion an unprecedented Department of Defense (DoD) program that has been and continues to be highly dynamic, challenging, and politically sensitive and an initiative with unforeseen / unanticipated outcomes / consequences / opportunities. In April 2012, almost six years later, the United States – Japan Security Consultative Committee (SCC) issued a joint statement, which adjusted the previous GIMDP and captured the "2012 Defense Budget Priorities and Choices" rebalance to the Asia-Pacific and Middle East Region. The joint statement can and should be viewed as a seminal moment / decision or a turning point. The joint statement recast the program and set in motion a series of actions such as new military master planning (Guam and Hawaii) and environmental analysis (Guam Supplemental Environmental Impact Statement (SEIS)) and Congressional program review, oversight, and legislative action.

Section 2822(d) of the 2014 National Defense Authorization Act (NDAA) directs the convening of the Economic Adjustment Committee (EAC); to consider all assistance, including assistance to support public infrastructure requirements, necessary to support the preferred alternative for the relocation of Marine Corps forces to Guam. EAC must submit a report to Congress no later than the date on which the Navy Supplemental Environmental Impact Record of Decision is issued, which includes: 1) results of the EAC meeting / deliberations; and 2) implementation plan to support the preferred alternative for the relocation of the United States Marine Corps (USMC) forces from Okinawa, Japan to Guam.

The primary goal of the Water Wastewater Infrastructure Technical Support is to enable the Government of Guam to effectively and responsively represent its equities and requirements to the EAC member agencies; as the EAC deliberates on the outside the fence investments.

Water Wastewater Infrastructure Technical Support Scope of Work

The EAC is facilitating a collaborative process, with relevant Federal Agencies / Departments and Government of Guam, including, but not limited to, U.S. Environmental Protection Agency (US EPA), Guam Waterworks Authority (GWA), Joint Guam Program Office (JGPO), Guam Consolidated Commission for Utilities (CCU), and Naval Facilities Engineering Command (NAVFAC) Pacific and Marianas to analyze and to identify and further refine public water and wastewater infrastructure investment /

projects, which are required to support the proposed realignment of U.S. forces from Okinawa, Japan, to Guam. The EAC initiative will analyze the most cost effective execution strategy and also analyze ensuring long term sustainability of all investments. The deliberations, findings, and deliverables will inform the EAC in their deliberations and preparation of the EAC report to Congress for Guam.

The Performance Based Contractor (PBC) will provide technical support services to the Government of Guam, through the Office of the Governor Buildup Office, which will enable the Government of Guam to responsively and effectively represent its equities and needs in the collaborative EAC initiative. The projected performance period for the EAC initiative is from October 2014 through March 2015 (six (6) months), with the majority of the effort being accomplished between October 2014 and January 2015.

Water Wastewater Infrastructure SOW shall consist of the following components:

I. Response Review

PBC shall provide technical support to the Buildup Office, as required and requested, in response to EAC:

- Requests to the Government of Guam for data / information;
- Questions / clarifications to the Government of Guam;
- Review and input on EAC products / deliverables; etc.

To be effective and responsive, PBC will have to work with other relevant and critical Government of Guam Agencies / Departments and the Guam Consolidated Commission for Utilities. A major aspect will be consolidating, reviewing, and analyzing all Government of Guam inputs and then working with the Buildup Office on a comprehensive, integrated, and cohesive response.

Deliverable 1.1: *Response interactions with Government of Guam Departments / Agencies.*

Deliverable 1.2: *Memorandums summarizing the response interactions and findings or outcomes.*

Deliverable 1.3: *Final responses issued by the Buildup Office to EAC.*

Deliverable 1.4: *Appropriate Water Wastewater Infrastructure materials, inclusive of fact sheets, presentations, etc.; which can be shared with or presented to the Office of the Governor and select Guam Legislature Senators (Chairs for select Committees – to be determined).*

II. On Board Technical Support

Because of the short EAC initiative performance period, it is anticipated there will be several Water Wastewater Infrastructure working meetings, which could occur in Guam; Honolulu, Hawaii; or San Francisco, California.

PBC shall assume that throughout the Water Wastewater Infrastructure Scope of Work (SOW), working committee coordination meetings will be required. PBC shall submit travel costs per meeting venue, which includes all key assumptions (number of personnel, number of days, etc.) and a projection of the number of meetings. However, travel will not be a firm fixed price.

***Deliverable 2.1:** Memorandum summarizing the committee meetings and findings or outcomes.*

***Deliverable 2.2:** Responses to committee meeting action items*

***Deliverable 2.3:** Appropriate Water Wastewater Infrastructure materials, inclusive of fact sheets, presentations, etc.; which can be shared with or presented to the Office of the Governor and select Guam Legislature Senators (Chairs for select Committees – to be determined).*

III. OPTIONAL TASK

PBC shall integrate and format products, developed by the EAC initiative for the Water Wastewater Infrastructure; into the Whole of Government initiative. EAC, acting through NAVFACPAC, will produce and provide the Water Wastewater Infrastructure initiative products by the end of January 2015. The decision on whether to exercise the Optional Task rests with the Office of the Governor in consultation with the EAC.

Information Management

Information developed under this agreement is pre-decisional, and its distribution will be limited according to specific need to know parties in support of the deliberations and work of the EAC and its member agencies.

However, throughout the Whole of Government initiative the OOG and PBC, working in collaboration with the EAC, will ensure there are products that can be used and distributed by the collaborative working committees, comprised of Federal and Government of Guam subject area experts. Development of products that can be shared with the Guam Legislature Committee Chairs and with the general public is paramount to the “sustainability” of investment parameter / objective.

The OOG and PBC recognize and acknowledge the Deliverables produced by the Grant reflect the collective views, opinions, and decisions that are reached in collaboration with relevant Federal Agencies / Departments.

SOW Notes

- PBC shall work with the appropriate Government of Guam Agencies / Departments; however adhering to the schedule to ensure completion of the SOW is paramount. Accordingly, if Government of Guam Agencies / Departments are non-responsive, PBC will rely on input and guidance from the OOG.
- In addition to the Government of Guam Agencies / Departments meetings, PBC shall throughout the execution of the SOW make presentations to the Office of the

Governor and to select and relevant Guam Legislature Committee Chairmen (Committee Chairmen are yet to be determined). The goal or objective of these presentations is to ensure the Government of Guam understands the future operational and maintenance (O&M) implications; which is a key component of ensuring future sustainability of the initial investment.

- PBC is being retained to provide relevant technical support and is not being retained to represent or negotiate on behalf of the Government of Guam.
- The SOW is on a compressed timeline. The EAC Report must be submitted to the US Congress, not later than the Department of the Navy (DON) issuance of the Supplemental Environmental Impact Statement (SEIS) Record of Decision, which is projected to be spring of 2015.

GOVERNMENT OF GUAM ASSISTANCE AND SUPPORT

General Program Background

The United States Pacific Command (USPACOM) "Guam Integrated Military Development Plan" (GIMDP), dated July 11, 2006, examined the feasibility of the proposed joint military basing and force bed-down on Guam, which was a key component of the USPACOM's "Integrated Global Presence and Basing Strategy." The GIMDP set in motion an unprecedented Department of Defense (DoD) program that has been and continues to be highly dynamic, challenging, and politically sensitive and an initiative with unforeseen / unanticipated outcomes / consequences / opportunities. In April 2012, almost six years later, the United States – Japan Security Consultative Committee (SCC) issued a joint statement, which adjusted the previous GIMDP and captured the "2012 Defense Budget Priorities and Choices" rebalance to the Asia-Pacific and Middle East Region. The joint statement can and should be viewed as a seminal moment / decision or a turning point. The joint statement recast the program and set in motion a series of actions such as new military master planning (Guam and Hawaii) and environmental analysis (Guam Supplemental Environmental Impact Statement (SEIS)) and Congressional program review, oversight, and legislative action.

Section 2822(d) of the 2014 National Defense Authorization Act (NDAA) directs the convening of the Economic Adjustment Committee (EAC); to consider all assistance, including assistance to support public infrastructure requirements, necessary to support the preferred alternative for the relocation of Marine Corps forces to Guam. EAC must submit a report to Congress no later than the date on which the Navy Supplemental Environmental Impact Record of Decision is issued, which includes: 1) results of the EAC meeting / deliberations; and 2) implementation plan to support the preferred alternative for the relocation of the United States Marine Corps (USMC) forces from Okinawa, Japan to Guam.

The primary goal of the Government of Guam Assistance and Support is to characterize and document the Government of Guam contributions that support the relocation of the USMC forces from Okinawa, Japan to Guam; which can be shared with the EAC for their consideration and use.

Government of Guam Assistance and Support Scope of Work

The Office of the Governor and their Performance Based Contractor (PBC) will collaborate with the Government of Guam Departments and Agencies, inclusive of the autonomous agencies to document the Government of Guam actions, initiatives, projects that support the USMC relocation (directly or indirectly). The primary goal of the effort is: to inform the EAC deliberations and the development of the EAC

Congressional report for Guam. Whole of Government Deliverables will developed and shared with the EAC for their consideration and use.

All the data gathering and meetings will occur on Guam. PBC shall attempt to leverage travel from the other Scopes of Work (SsOW).

The Whole of Government SOW shall consist of the following components:

I. Phase 1 – Data Collection / Research

The Buildup Office Director shall provide an initial list of projects, actions, initiatives that the Government of Guam has taken to support the USMC relocation. The initial list can include projects that date back to 2006. PBC shall engage the relevant Government of Guam Agencies / Departments to review and analyze the relevant documentation, especially the financial documents. PBC shall categorize the projects, actions, initiatives into two categories: 1) direct support (direct association to the realignment) or 2) indirect support.

PBC shall prepare an initial Government of Guam Assistance and Support Baseline that documents and categorizes the relevant projects, actions, and / or initiatives. Actions or initiatives can include Capacity Enhancing Building Initiatives (CEBI); which implemented best management processes to increase / address existing capacity challenges. If CEBI(s) are used, PBC needs to document the net effect (Example: document the increase of processing of permits or driver licenses).

Deliverable 1.1: *Conduct interviews on Guam with the Government of Guam Departments / Agencies.*

Deliverable 1.2: *Whitepaper summarizing the interviews conducted and findings.*

Deliverable 1.3: *Government of Guam Assistance and Support Baseline that documents and categorizes the Agency / Department projects, actions, and / or initiatives*

Deliverable 1.4: *A Government of Guam Assistance and Support Baseline Presentation shall be presented to the Office of the Governor and select Guam Legislature Senators (Chairs for select Committees – to be determined). The Presentation shall provide an overview of the Government of Guam Assistance and Support Baseline.*

II. Phase 2 –Draft Whole of Government Response

PBC shall prepare a Draft Government of Guam Assistance and Support Response, which documents the Government of Guam Departments / Agencies projects, actions, and / or initiatives. PBC shall develop standalone Fact Sheets for each project, action, and / or initiative. The Fact Sheet shall document how the project,

action, or initiative directly or indirectly supports the USMC realignment. If appropriate, describe how the project, action or initiative reduces a possible impact and / or mitigation. In addition the Fact Sheet shall describe the project, action or initiative and then provide sound financial information. Example: provide financial information in regards to the underwriting (other Federal program of assistance, Government of Guam bonds or General Funds, etc.). In addition, to providing individual project financial information, if possible document collective financial information (example: percentage of the Government of Guam debt ceiling that was being leverage for the USMC realignment).

Deliverable 2.1: Whitepaper summarizing the interviews conducted and findings.

Deliverable 2.2: Government of Guam Assistance and Support Response that documents the Government of Guam actions / initiatives taken in response to the USMC realignment. PBC shall develop a visual (graphic) time line that shows when the various Government of Guam Assistance and Support initiatives, actions, or projects were executed.

Deliverable 2.3: Government of Guam Assistance and Support Fact Sheets. For each project, action or initiative, PBC shall develop an associated standalone Fact Sheet. The Fact Sheet should document the requirement (tie the requirement to the Navy SEIS), describe the project, action or initiative and provide sound financial information.

Deliverable 2.4: A Government of Guam Assistance and Support Response Presentation shall be presented to the Office of the Governor and select Guam Legislature Senators (Chairs for select Committees – to be determined). The Presentation shall provide an overview of the Government of Guam Assistance and Support Response.

III. OPTIONAL TASK

PBC shall integrate and format products, developed by the Association of Public Health Laboratories (APHL) for the Guam Public Health Laboratory; into the Whole of Government initiative. The decision on whether to exercise the Optional Task rests with the Office of the Governor in consultation with the EAC.

Information Management

Information developed under this agreement is pre-decisional, and its distribution is limited according to specific need to know parties in support of the deliberations and work of the EAC and its member agencies.

However, throughout the Whole of Government initiative the OOG and PBC, working in collaboration with the EAC, will ensure there are products that can be used

and distributed by the collaborative working committees, comprised of Federal and Government of Guam subject area experts. Development of products that can be shared with the Guam Legislature Committee Chairs and with the general public is paramount to the "sustainability" of investment parameter / objective.

The OOG and PBC recognize and acknowledge the Deliverables produced by the Grant reflect the collective views, opinions, and decisions that are reached in collaboration with relevant Federal Agencies / Departments.

SOW Notes

- PBC shall prepare an initial SOW Schedule, which includes key milestones and meetings; which can be shared with all key stakeholders. The SOW Schedule will be shared with the Government of Guam Departments / Agencies, which will enhance their availability and participation at key meetings.
- PBC shall work with the appropriate Government of Guam Agencies / Departments; however adhering to the schedule to ensure completion of the SOW is paramount. Accordingly, if Government of Guam Agencies / Departments are non-responsive, PBC will rely on input and guidance from the OOG.
- In addition to the Government of Guam Agencies / Departments meetings, PBC shall throughout the execution of the SOW make presentations to the Office of the Governor and to select and relevant Guam Legislature Committee Chairmen (Committee Chairmen are yet to be determined). The goal or objective of these presentations is to ensure the Government of Guam understands the future operational and maintenance (O&M) implications; which is a key component of ensuring future sustainability of the initial investment.
- The SOW is on a compressed timeline. The EAC Report must be submitted to the US Congress, not later than the Department of the Navy (DON) issuance of the Supplemental Environmental Impact Statement (SEIS) Record of Decision, which is projected to be spring of 2015.